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PTO/SB/05 (4/98)

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.:	1835D/A
First Inventor or Application Identifier	A. Levent Cimecioglu
Title:	ALDEHYDE MODIFIED CELLULOSE PULP FOR THE PREPARATION OF HIGH STRENGTH PAPER PRODUCTS
Express Mail Label No.:	EL569392194US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO: Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

1. ☒ **Fee Transmittal Form** [Total Pages [2 + 2 = 4]]  
(Submit an original, and a duplicate for fee processing)
2. ☒ **Specification** [Total Pages ☐ ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ **Drawing(s)** (35 USC 113) [Total Sheets ☐ ]
4. ☒ **Oath or Declaration** [Total Pages ☐ ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)  
[Note Box below]
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized) [Total pages [1]]
12. ☐ Small Entity ☐ Statement filed in prior application Statement(s) Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.26).

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) of prior application No. 09/375,939

Prior application information: Examiner Einsmann, M. Group/Art Unit: 1751

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

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Signature	<i>Laurelee A. Duncan</i>		Date August 14, 2000		

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*Luke Mengak*  
Luke Mengak

**FEE TRANSMITTAL FORM**

**Attorney Docket No. 1835D/A**

In re Patent Application of :  
Cimecioglu, A.L., et al. :  
Serial No. Unassigned :  
Filed: Herewith :  
For: ALDEHYDE MODIFIED CELLULOSE PULP FOR THE :  
PREPARATION OF HIGH STRENGTH PAPER :  
PRODUCTS :

Group Art Unit: 1751

Examiner: Unassigned

To the Assistant Commissioner for Patents

This is a request for filing a Continuation, Divisional or Continuation-in-Part (CIP) application under 37 C.F.R. 1.53(b) of pending prior application serial no. 09/375,939 filed on august 17, 1999 for

ALDEHYDE MODIFIED CELLULOSE PULP FOR THE PREPARATION OF HIGH STRENGTH PAPER PRODUCTS

Enclosed is a copy of Transmittal Form PTO/SB/05 along with a copy of the specification and claims as required under 37 C.F.R. 1.53(b) and as noted in the transmittal form.

The Filing Fee is calculated below:

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	25	5	\$18.00 X 5	\$90.00
INDEPENDENT CLAIMS	1	0		0.00
BASIC FEE				\$690.00
TOTAL FILING FEE				\$780.00

Please charge Deposit Account No. 14-0455 in the amount of \$ 780.00

A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. 14-0455. A duplicate copy of this sheet is enclosed.


The prior application is assigned of record to National Starch and Chemical Investment Holding Corporation.

The power of attorney appears in the Declaration of the prior application, copy enclosed, and is to:

Ellen T. Dec	Reg. No. 26,863
Laurelee A. Duncan	Reg. No. 44,096
Cynthia L. Foulke	Reg. No. 32,364
Jane E. Gennaro	Reg. No. 34,884
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Address all future communications to:

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P. O. Box 6500  
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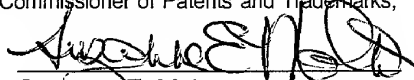
  
Laurelee A. Duncan Reg. No. 44,096  
Agent for Applicants  
Tel. No. 908-685-5433

August 14, 2000

#37576

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Suzanne E. Malm

**Docket #1835D/A**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

CIMECIOGLU et al.

Serial No. unassigned

Filed: herewith

For: ALDEHYDE MODIFIED CELLULOSE PULP  
FOR THE PREPARATION OF HIGH  
STRENGTH PAPER PRODUCTS

Group Art Unit: 1751

Examiner: M. Einsmann

**Preliminary Amendment**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

This application is a divisional filed in response to the Office Action mailed 4/25/00. Please consider the following comments below.

**STATUS OF THE CLAIMS**

Claims 1 – 25 are pending.

**REMARKS**

This application is being filed in response to the Examiner who required that claims 1-5 and 23 – 37, relating to the composition claims to the paper and the method of making the paper, were restricted out of the original application, serial number 09/375,939. Those claims are being filed in the present application and are renumbered consecutively in the present application as Claims 1-3, 7-10, 14-25.

The claims have been amended to include Claims 4-6 and 11-13 in order to reflect the improved compression properties of the paper of the present invention. Examples 13 and 14 have been added the

present specification to clarify and highlight these improved properties.

In conclusion, It is asserted that Claims 1-25 are in condition for allowance and favorable action is therefore earnestly solicited.

Respectfully submitted,



Laurelee A. Duncan  
Agent for Appellants  
Tel. No. 908-685-5433

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August 14, 2000

**Express Mail Certification**

**Date of Deposit:** August 14, 2000  
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Luke Mengak  
Luke Mengak

Docket #1835D/A

A. Levent Cimecioglu  
Danielle E. Harkins  
Michele M. Merrette  
Robert D. Rossi

5

**ALDEHYDE MODIFIED CELLULOSE PULP FOR THE PREPARATION OF  
HIGH STRENGTH PAPER PRODUCTS**

This application is a continuation in part of application serial no.

10 09/375,939 filed August 17, 1999.

**BACKGROUND OF THE INVENTION**

This invention relates to paper comprising aldehyde modified cellulose pulp or fiber and further to the method of preparing aldehyde modified cellulose and cellulose pulp or fiber using selected oxidation conditions to generate  
15 aldehyde functionality. More particularly, this invention involves paper made from cellulose pulp having a defined amount of aldehyde content. A method for preparing the selected aldehyde modified cellulose and cellulose pulp involves using a nitroxyl radical mediated oxidation with a limited amount of oxidant and defined reaction conditions. This aldehyde modified pulp is used in the

5 production of tissue/towel and other paper products which exhibit unexpected high wet strength, temporary wet strength and dry strength properties, including compression strength and resistance, and high wet strength/dry strength ratios without the use of other additives.

The term "paper" as used herein, includes sheet-like masses and molded  
10 products made from pulp or fibrous cellulosic material which may be derived from natural sources. Paper may also be made from synthetic cellulosic fibers and regenerated cellulose as well as recycled waste paper. In addition, paper made from combinations of cellulosic and synthetic materials are applicable herein. Paperboard is included within the broad term "paper".

15 Papermaking, as it is conventionally known, is a process of introducing an aqueous slurry of pulp or wood cellulosic fibers (which have been beaten or refined to achieve a level of fiber hydration and to which a variety of functional additives can be added) onto a screen or similar device in such a manner that water is removed, thereby forming a sheet of the consolidated fibers, which upon  
20 pressing and drying can be processed into dry roll or sheet form. Typically in papermaking, the feed or inlet to a papermaking machine is an aqueous slurry or water suspension of pulp fibers which is provided from what is called the "wet end" system. In the wet end, the pulp along with other additives are mixed in an aqueous slurry and subject to mechanical and other operations such as beating  
25 and refining. Various additives are commonly added to help provide different properties in the paper product.

The preparation of aldehyde containing starches and the use of such aldehyde derivatives in the paper industry as wet and dry strength additives is well known. Both oxidative and non-oxidative methods are known for introducing  
30 aldehyde groups into starch. Use of these products in papermaking to provide

5 wet and dry strength properties involves the addition of this separate starch additive component.

The use of nitroxyl radicals and nitrosonium salts in organic chemistry as an oxidative route to produce aldehydes and carboxylic acids from primary and secondary alcohols is disclosed in an article entitled "Organic Nitrosonium Salts  
10 As Oxidants in Organic Chemistry" by J. M. Bobbitt and C. L. Flores, in Heterocycles, Vol. 27, No. 2, 1988, pp. 509-533. Recently, application of this chemistry was extended to the selective oxidation of primary alcohols in various carbohydrates to carboxylic acids in an article entitled "Selective Oxidation of Primary Alcohols Mediated by Nitroxyl Radical in Aqueous Solution. Kinetics and  
15 Mechanism" by A. E. J. de Nooy and A. C. Bessemer, in Tetrahedron, Vol. 51, No. 29, 1995, pp. 8023-8032. Patent publication WO 95/07303 dated March 16, 1995 further discloses the use of this technology where carbohydrates having a primary hydroxyl group are oxidized under aqueous conditions to form products having a high content of greater than 90% carboxyl groups. This art involving the  
20 oxidation of primary alcohols generally describes the preparation of polyglucuronic acids with high carboxylic acid content. Similarly, the process of oxidation has been used to prepare various polysaccharides with high carboxyl content as described in "Oxidation of Primary Alcohol Groups of Naturally Occurring Polysaccharides with 2,2,6,6-Tetramethyl-1-piperidine Oxoammonium  
25 Ion" by P. S. Chang and J. F. Robyt in J. Carbohydrate Chemistry, 15(7), 1996, pp. 819-830. It should be noted that in some applications high carboxylic acid content is undesirable.

Recent patent publications WO 99/23240 and 99/23117, both dated May 14, 1999, respectively disclose methods of oxidizing starch and cellulose using



5 an oxoammonium ion producing reagent in the presence of an enzyme oxidizing agent.

Despite the various methods described above, there still is the need for cellulose pulp which is suitable for use in paper applications to provide the desired high degree of wet strength, temporary wet strength and dry strength  
10 properties, and improved compression strength and resistance, and does not involve the use of separate additive components.

### SUMMARY OF THE INVENTION

This invention is directed to paper having wet strength, temporary wet  
15 strength and dry strength properties, including improved compression strength and resistance, and comprising aldehyde modified cellulose pulp wherein the pulp has from 1 to 20 mmoles of aldehyde per 100 g of cellulose.

Another embodiment of this invention involves the selective preparation of cellulose aldehyde and cellulose pulp aldehyde under defined oxidation  
20 conditions using a nitroxyl radical mediated aqueous oxidation procedure to provide derivatives with effective aldehyde content particularly useful in papermaking. More particularly, this invention involves the oxidation of cellulose or cellulose pulp in an aqueous solution with an oxidant having an equivalent  
25 oxidizing power of up to 5.0 g of active chlorine per 100 g of cellulose and an effective mediating amount of nitroxyl radical, the reaction being carried out at a pH of about 8.0 to 10.5, and a temperature of from about 5 to 50°C, the resulting product having an aldehyde content of about 1 to 20 mmole/100 g of pulp.

This invention further involves aldehyde modified cellulose or cellulose pulp having defined aldehyde content.

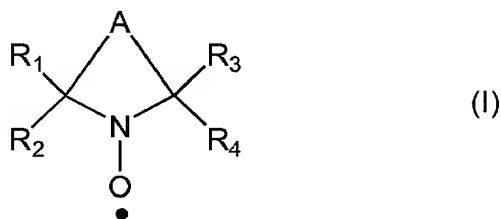
5 Still another embodiment involves the method of preparing paper having wet strength, temporary wet strength and dry strength properties, including compression strength and resistance, comprising using the cellulose aldehyde pulp prepared by the selective oxidation procedure as described above, as the paper or pulp stock or a component thereof.

10 DETAILED DESCRIPTION OF THE INVENTION

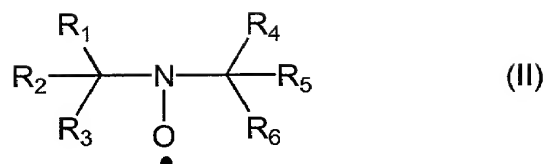
The cellulose or cellulose pulp aldehyde derivatives of this invention have effective aldehyde functionality or content of from about 1 to 20 and preferably from about 5 to 20 mmoles/100 g of cellulose material, i.e., cellulose or cellulose pulp.

15 The cellulose aldehyde derivatives of this invention can be prepared by a method which involves the selective oxidation of cellulose and cellulose pulp or fiber using a limited amount of oxidant mediated with a nitroxyl radical under defined conditions to provide derivatives with effective aldehyde content making them particularly suitable for use in providing paper with desired wet strength,  
20 temporary wet strength and dry strength properties, including compression strength and resistance .

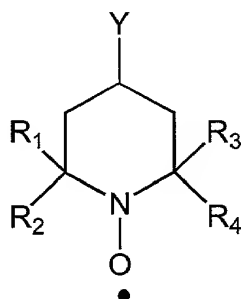
The nitroxyl radical mediator used herein is a di-tertiary alkyl nitroxyl radical having one of the following formulas:



25 or



where A represents a chain of preferably two or three atoms, in particular carbon atoms or a combination of one or two carbon atoms with an oxygen or nitrogen atom, and the R groups represent the same or different alkyl groups. Chain A may be substituted by one or more groups such as alkyl, alkoxy, aryl, aryloxy, amino, amido or oxo groups, or by a divalent group or multivalent group which is bound to one or more other groups having formula I. Particularly useful nitroxyl radicals are di-tertiary alkyl nitroxyl radicals having the formula:



15

where Y is either H, OH or  $\text{NH-C(O)-CH}_3$  and each of the R groups represent the same or different alkyl groups of 1 to 18 carbon atom and more particularly methyl groups. Nitroxyl radicals of this type include those where a) the R groups are all methyl (or alkyl of 1 carbon atom) and Y is H, i.e., 2,2,6,6-tetramethyl-1-piperdinyloxy (TEMPO); b) R groups are methyl and X is OH and identified as

20

5 4-hydroxy TEMPO; and c) R groups are methyl and Y is  $\text{NH-C(O)-CH}_3$  and identified as 4-acetamido-TEMPO. The preferred nitroxyl radical is TEMPO or 4-acetamido-TEMPO. The nitroxyl radical is used in an effective amount to mediate the oxidation and more particularly from about 0.001 to 20% by weight, preferably from about 0.01 to 0.1% by weight, based on the weight of cellulose,  
10 cellulose pulp or fiber. The nitroxyl radical can be added to the reaction mixture or generated in situ from the corresponding hydroxylamine or oxoammonium ion.

The oxidant used in this invention can be any material capable of converting nitroxyl radicals to their corresponding oxoammonium salt. Particularly useful oxidants are the alkali or alkaline-earth metal hypohalite salts such as  
15 sodium hypochlorite, lithium hypochlorite, potassium hypochlorite or calcium hypochlorite. An alkali or alkaline earth-metal hypobromite salt may also be used and it may be added in the form of the hypobromite salt itself, such as sodium hypobromite, or it may be formed in situ from the addition of a suitable oxidant such as sodium hypochlorite and an alkali or alkaline-earth metal bromide salt  
20 such as sodium bromide. The bromide ion is generally in the form of sodium bromide. Additional oxidants that can be used in this method include hydrogen peroxide in combination with a transition metal catalyst such as methyltrioxorhenium (VII); hydrogen peroxide in combination with an enzyme; oxygen in combination with a transition metal catalyst; oxygen in combination  
25 with an enzyme; peroxyacids such as peracetic acid and 3-chloroperoxybenzoic acid; alkali or alkaline-earth metal salts of persulfates such as potassium persulfate and sodium persulfate; alkali or alkaline-earth metal salts of peroxymonosulfates such as potassium peroxymonosulfate; chloramines such as 1,3,5-trichloro-1,3,5-triazine-2,4,6(1H,3H,5H)trione, 1,3-dichloro-1,3,5-triazine-  
30 2,4,6(1H,3H,5H)trione sodium salt, 1,3-dichloro-5,5-dimethylhydrantoin, 1-

5 bromo-3-chloro-5,5-dimethylhydrantoin, and 1-chloro-2,5-pyrrolidinedione; and  
alkali or alkaline-earth metal salts of ferricyanide. This list of oxidants is only  
illustrative and is not intended to be exhaustive. The oxidants can be used alone  
or in combination with an alkali or alkaline-earth metal bromide salt. The  
preferred oxidant is sodium hypochlorite or sodium hypobromite formed from the  
10 addition of sodium hypochlorite and sodium bromide.

The important factor in the use of the oxidant is that it must be used in a  
limited amount that has the equivalent oxidizing power of up to 5.0 g of active  
chlorine per 100 g of cellulose or cellulose pulp. More particularly, the amount of  
oxidant used will have an equivalent oxidizing power of from about 0.05 to 5.0 g  
15 of active chlorine and preferably from about 0.5 to 2.5 g of active chlorine per 100  
g of cellulose or cellulose pulp. When sodium hypochlorite is used, it is used in a  
limited amount of up to about 10 percent by weight based on the weight of  
cellulose or cellulose pulp, more particularly from about 0.1 to 10% and  
preferably from about 1 to 5% by weight based on the weight of cellulose or  
20 cellulose pulp. Bromide in the form of sodium bromide will generally be used in  
an amount of from about 0.1 to 5% by weight and preferably from about 0.25 to  
2% by weight based on the weight of cellulose or cellulose pulp. By limiting the  
amount of oxidant under defined aqueous conditions, the cellulose aldehyde  
derivatives are selectively prepared at effective high aldehyde levels. Such high  
25 aldehyde cellulose products are particularly useful in preparing paper with wet  
strength, temporary wet strength and dry strength properties, including  
compression strength and resistance.

The cellulose material used as the starting material may be any cellulose,  
cellulosic fiber or pulp material. This includes hardwood or softwood cellulosic  
30 fibers such as bleached and unbleached sulfate (Kraft), bleached and

5 unbleached sulfite, bleached and unbleached soda, neutral sulfite, semi-chemical, groundwood, chemi-groundwood, and any combination of these fibers. In addition, synthetic cellulosic fibers of the viscose rayon or regenerated cellulose type can also be used, as well as recycled waste papers from various sources. The consistency in water of the cellulose or pulp that is used will be  
10 from about 0.1 to 15% by weight solids in water and preferably from about 1 to 5% by weight. When used in papermaking other additives such as desired inert fillers or retention aids may be added to the cellulose pulp. Such materials include clay, titanium dioxide, talc, calcium carbonate, calcium sulfate and diatomaceous earth. Rosin or synthetic internal size may also be present, if  
15 desired. Other additives commonly used in paper may also be used in combination with the oxidized pulp of this invention.

The oxidation reaction of the cellulosic material is carried out in an aqueous solution. The pH of the reaction is maintained at about 8.0 to 10.5, preferably about 9 to 10, the temperature is maintained at from about 5 to 50°C,  
20 preferably from about 20 to 30°C. The extent of the reaction is controlled by the amount of oxidant used or the reaction time. Generally the reaction time will be from about 5 to 60 minutes, and more particularly from about 20 to 30 minutes.

By using the reagent and component amounts as defined previously and the noted reaction conditions, controlled amounts of aldehyde functionality, particularly C-6 aldehyde, can be obtained that are suitable and effective in  
25 providing desired wet strength, temporary wet strength, and dry strength properties, including compression strength and resistance, and wet strength/dry strength ratios desired in the final prepared paper product. The cellulose aldehyde derivatives prepared in accordance with this invention will have  
30 effective aldehyde functionality of from about 1 to 20 and preferably from about 5

5 to 20 mmole/100 g of cellulosic material i.e., cellulose or cellulose pulp. Carboxylic acid functionality will also be generated or formed during the oxidation process. Amounts of carboxyl content generated will generally be from about 1 to 40 mmole/100 g of cellulose or cellulose pulp, particularly from about 1 to 20 and more particularly from about 1 to 10 mmole/100 g cellulose or cellulose pulp.

10 It should be noted that this amount of carboxylic acid functionality is in addition to what may already be present in the cellulose or cellulose pulp naturally or by virtue of the type of processed pulp used, such as bleached sulfate, bleached sulfite, etc.. The effective level of aldehyde is an important aspect of this invention and one way this can be defined is by the ratio of aldehyde to

15 generated carboxylic acid functionalities. Such levels can be defined by aldehyde to generated carboxylic acid ratios of greater than or equal to 0.5 (based on mmole/100 g of cellulose or cellulose pulp of each functionality) and preferably greater than or equal to 1.0. While recognizing that the amount of additional carboxylic functionality (i.e., other than generated) will vary and may be

20 fairly low, there nevertheless will be some present and this will affect the level of total carboxylic acid functionality. Considering this and based on total carboxylic acid, the ratio of aldehyde to carboxylic acid functionality will be from about 0.2 or more. The significance of this aldehyde content is particularly manifested in the resulting properties found in paper prepared from the oxidized cellulose material.

25 High wet strength, temporary wet strength and dry strength properties, including compression strength and resistance, are found. Products having high wet strength/dry strength ratios of greater than 20% have been obtained in paper using these selectively modified cellulose aldehyde derivatives indicating improved properties such as softness.

5           Compression strength and resistance are components of the dry strength of paper, and are particularly significant in paperboard quality. Compression strength relates to the edgewise compression strength of paper and is commonly measured by the Swedish Forest Products Laboratory (STFI) short-span test. Compression resistance is a measure of the elastic and inelastic buckling or  
10   edge crush resistance of the paper and is commonly measured by the Ring Crush test. The Ring Crush and STFI tests are described in detail in the Handbook of Physical & Mechanical Testing of Pulp & Paperboard, Johnson, J.A., Bennett, K.A. et al, Marcel Decker, NY, (1983) pages 181-190, which is incorporated herein by reference. The modified papers of the present invention  
15   demonstrate improved compression properties as expressed by their STFI and Ring Crush tests of greater than about one percent, and preferably greater than about five percent, over papers made from a corresponding unmodified pulp.

          Further, the moisture absorption by papers which is caused by high humidity conditions is known to significantly reduce the compression strength of  
20   papers. The papers of the present invention demonstrate improved compression strength and resistance even in environments of relatively high temperature and humidity. This increase in compression strength is greater than about 1%, preferably greater than about 5% over the compression strength of papers made from a corresponding unmodified pulp.

25           It is noted that use of the modified aldehyde cellulose derivatives of this invention in papermaking may involve the use of such derivatives as the whole or entire pulp or paper stock or it may be used as a component of the paper stock (i.e., in amounts of 20, 40, 60% by weight etc.).

          The following examples will more fully illustrate the embodiments of this  
30   invention. In the examples, all parts and percentages are by weight and all



5 temperatures in degrees Celsius unless otherwise noted. Also, when referring to the pulp by weight, it is the weight of the pulp per se, i.e., it includes equilibrium moisture content.

#### EXAMPLE 1

10 Modification of Northern Softwood Kraft (NSK) pulp:

To a 1600 g stirred suspension of NSK pulp at 3% consistency (48 g pulp) was added 4.8 mg 4-acetamido-TEMPO and 0.24 g sodium bromide [0.01% and 0.5% on weight of pulp (owp) respectively]. The pH of the mixture was adjusted to 9.5 with 0.49 N sodium hydroxide. Sodium hypochlorite (10.11 g; 15 9.5% solution; 2% owp), whose pH was also adjusted to 9.5 using concentrated HCl, was then added all at once and the mixture was stirred at 25°C for 30 minutes. The pH of the suspension was maintained throughout using a Brinkmann pH STAT 718 Titrino at 9.5 with 0.49 N NaOH (7.9 mL). At the end of the treatment period, the reaction was terminated by adding ascorbic acid to the 20 mixture until its pH was lowered to 4.0 to 4.5 range (ca. 1 g).

The pulp was filtered and washed extensively with water whose pH was adjusted to 4.5 to 5.5. It was then either re-slurried in water for subsequent use in handsheet making or dried in air at room temperature for future use.

25

#### EXAMPLE 2

The procedure described in Example 1 was repeated with the exception that it was carried out based on 248 g pulp and the 4-acetamido-TEMPO was omitted from the treatment. This control treatment consumed 1.22 mL of 0.98 N NaOH during maintenance of pH of the mixture at 9.5 during the 30 minute 30 treatment.



5

### EXAMPLE 3

#### Modification of hardwood pulp:

To a 1600 g stirred suspension of hardwood pulp at 3% consistency (48 g pulp) was added 4.8 mg 4-acetamido-TEMPO and 0.24 g sodium bromide. The pH of the mixture was adjusted to 9.5 with 0.49 N sodium hydroxide. Sodium hypochlorite (10.11 g; 9.5% solution; 2% owp), whose pH was also adjusted to 9.5 using concentrated HCl, was then added all at once and the mixture was stirred at 25°C for 30 minutes. The pH of the suspension was maintained throughout using a Brinkmann pH STAT 718 Titrino at 9.5 with 0.49 NaOH, consuming 4.8 mL. At the end of the treatment period, the reaction was terminated by adding ascorbic acid to the mixture until its pH was lowered to 4.0 to 4.5 range (ca. 1 g). The pulp was filtered and washed extensively with water whose pH was adjusted to 4.5 to 5.5. It was then either re-slurried in water for subsequent use in handsheet-making or air-dried at room temperature for future use.

20

### EXAMPLE 4

The procedure described in Example 3 was repeated with the exception that it was carried out based on 248 g pulp and the 4-acetamido-TEMPO was omitted from the treatment. This control treatment consumed 1.96 mL of 0.98 N NaOH during maintenance of pH of the mixture at 9.5 during the 30 minute treatment time.

25

5

## EXAMPLE 5

Determination of aldehyde content on modified pulps:

Aldehyde content of modified pulps were determined using hydroxylamine hydrochloride titration via oxime derivatization to the following reaction and the procedure.



An oxidized pulp suspension in water 1200 g at 3% consistency was pH adjusted to 4 with aqueous HCl. To this mixture was added dropwise a large excess of an aqueous solution of 2 M hydroxylamine hydrochloride solution (ca. 15 mL), whose pH was also adjusted to 4 with HCl. During the reaction, the pH of the mixture was maintained at 4 via titration with a 0.49 N NaOH solution using a Brinkmann pH STAT 718 Titrino. The titration was continued until no further reduction in pH of the mixture could be detected (ca. 1 h). Aldehyde levels are then calculated based on the total consumption of NaOH using the following equation:

$$20 \quad \text{mmole/100 g -CHO} = \frac{\text{mL of NaOH titrant} \times \text{N of NaOH}}{\text{pulp weight in g}} \times 100$$

Table 1 lists the -CHO content of pulp samples prepared in Examples 1 to 4.

Carboxylic acid content of modified pulps:

The level of carboxylic acid formed during these treatments was calculated from the amount of NaOH titrant consumed to maintain the pH of the reactions. This provides a direct measure of the additional carboxylic acid generated on the pulp and was calculated using the following equation:

$$30 \quad \text{mmole/100 g -COOH} = \frac{\text{mL of NaOH titrant} \times \text{N of NaOH}}{\text{pulp weight in g}} \times 100$$

5

pulp weight in g

Table 1 lists the -COOH content of modified pulp samples prepared in Examples 1 to 4.

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TABLE 1

Aldehyde and carboxylic acid moieties generated in pulp treatments described in Examples 1 to 4.

Pulp Prepared in EXAMPLE #	Aldehyde Content (mmole/100 g)	Carboxylic Acid Generated (mmole/100 g)
1	8.7	8.1
2	<0.5	0.5
3	10.1	4.9
4	<0.5	0.8

15

## EXAMPLE 6

Following their modification by the oxidative process described in the prior examples, modified pulp samples (600 to 650 CSF) were formed into 18 lb/3300 sq.ft handsheets from 0.3% consistency and at pH 5 to 6 on an M/K Sheet Former. Test strips (1" wide) were cut from handsheets and tested for initial wet strength and dry tensile strength at the breaking point according to the TAPPI Standard Test Method T 456. Table 2 lists the tensile strength performance data from handsheets of surface modified pulp samples prepared in Examples 1 to 4.

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TABLE 2

Wet and dry tensile strength performance of handsheets prepared from pulps  
modified as described in Examples 1 to 4.

Pulp Used	Wet Tensile Strength (g/in)	Dry Tensile Strength (g/in)	Wet/Dry Ratio (Wet st. / Dry st. X 100)
Untreated NSK	19	2028	1
Example 1	614	2504	25
Example 2	38	2018	2
Untreated Hardwood	19	1243	2
Example 3	278	1399	20
Example 4	16	949	2

10

## EXAMPLE 7

Modification of 70/30 blend of softwood / hardwood pulps:

To an 800 g stirred pulp suspension of 1.3% consistency in water comprising of a 70/30 (w/w) mixture of softwood/hardwood (10.4 g total pulp) was added 10.4 mg 4-acetamido-TEMPO and 0.31 g sodium bromide (0.1% and 3% on weight of pulp respectively). The pH of the mixture was adjusted to 9.5 with 0.98 N sodium hydroxide. Sodium hypochlorite (9.81 g of 10.6% solution; 10% owp as hypochlorite), whose pH was also adjusted to 9.5 using concentrated HCl, was then added all at once and the mixture was stirred at 25°C for 10 minutes. The pH of the suspension was maintained throughout using a Brinkmann pH STAT 718 Titrino at 9.5 with 0.98 N NaOH (0.57 mL). At the end of the treatment period, the reaction was terminated by adding ascorbic acid to the mixture until its pH was lowered to 4.0 to 4.5 range (ca. 2 g).

5           The pulp was recovered as described in Example 1. Handsheets made from this pulp exhibited 301 g/in and 1355 g/in wet and dry tensile strengths respectively.

#### EXAMPLE 8

10           Softwood pulp was modified under the conditions described in Example 7. The pulp was filtered-off and washed extensively with water whose pH was adjusted to 4.5 to 5.5. It was then re-slurried in water at neutral pH. Portions of this pulp suspension were then mixed with unoxidized hardwood pulp suspensions in order to determine the effect of increasing modified softwood  
15           fraction in untreated hardwood. Handsheets were made from these pulp mixtures and tested as described in Example 6. Table 3 lists the tensile strength results of handsheets made from these pulp compositions.

TABLE 3

20           The effect of blending oxidized softwood pulp with an unmodified hardwood pulp on the wet and dry tensile strength of handsheets prepared from such pulp compositions.

Pulp Composition Modified Softwood / Unmodified Hardwood (w/w)	Wet Tensile Strength (g/in)	Dry Tensile Strength (g/in)	Wet/Dry Ratio (%)
0/100	20	1012	2
10/90	39	1231	3
20/80	75	1291	6
30/70	125	1490	8
40/60	183	1722	11
50/50	232	1714	14
100/0	557	2243	25

25

#### EXAMPLE 9

5           The process described in Example 1 was repeated under similar conditions on NSK pulp in order to determine the effect of process variables on aldehyde generation and paper strength properties. Therefore, 4.8 mg 4-acetamido-TEMPO and 0.24 g sodium bromide were added to stirred pulp suspensions in water of 1600 g slurry at 3% consistency (48 g pulp). pH of the  
10 mixtures were adjusted to required level with 0.49 N NaOH. Sodium hypochlorite (7.68 g as 12.5% solution at desired pH) was then added all at once and the mixtures were stirred for a prescribed period at a given temperature. The pH of the mixtures were maintained throughout using a Brinkmann STAT 718 Titrino with 0.49 N NaOH. At the end of the treatment period, the reactions were  
15 terminated by adding ascorbic acid to the mixture until its pH was lowered to 4.0 to 4.5 range (ca. 1 g).

          The pulps were filtered and washed extensively with pH adjusted water (4.5 to 5.5). They were then re-slurried in water and tested for aldehyde content and handsheet wet tensile strength and dry strength performance as described in  
20 Examples 5 and 6 respectively. Table 4 lists the testing results.



5

TABLE 4

The effect of process variables during NSK treatment on the properties of the modified pulp and handsheets.

Oxidation Conditions			Pulp Properties			Paper Properties		
pH	T (°C)	Time (min.)	-CHO Content (mmole/100 g)	-COOH Generated (mmole/100g)	-CHO/-COOH Ratio	Wet Tensile Strength (g/in)	Dry Tensile Strength (g/in)	Wet/Dry Ratio (%)
Untreated NSK pulp						18	2142	1
9.5	25	30	8.7	8.1	1.1	614	2504	25
11.0	25	30	1.5	4.0	0.4	240	2237	11
8.0	25	30	5.9	4.2	1.4	479	2258	21
9.5	50	30	4.3	11.5	0.4	246	2230	11
9.5	25	60	7.3	9.8	0.8	578	2430	24

10

## EXAMPLE 10

The process described in Example 1 was repeated under similar conditions on NSK pulp in order to determine the effect of process variables of pulp consistency, 4-acetamido-TEMPO, sodium bromide and sodium hypochlorite concentrations on the wet and dry strength and wet/dry ratio of the handsheets prepared from modified pulps. Therefore, appropriate quantities of 4-acetamido-TEMPO and sodium bromide were added to stirred pulp suspensions in water of either 800 g at 1.3% consistency or 1600 g slurry at 3% consistency (10.4 g or 48 g pulp respectively). pH of the mixtures were adjusted to 9.5 with 0.49 N sodium hydroxide. Required amounts of sodium hypochlorite (as 10 to 13% solutions at pH 9.5) were then added all at once and the mixtures were stirred at 25°C for 30 minutes. The pH of the mixtures were maintained throughout using a Brinkmann pH STAT 718 Titino at 9.5 with 0.49 N NaOH. At the end of the treatment period, the reactions were terminated by adding ascorbic acid to the mixture until its pH was lowered to 4.0 to 4.5 range (ca. 1 g).

5           The pulps were filtered and washed extensively with pH adjusted water (4.5 to 5.5). They were then re-slurried in water and formed into handsheets and tested as described in Example 6.

Table 5 lists the handsheet testing results obtained from NKS pulp treated with different reagent concentrations

10

TABLE 5

The effect of reagent concentrations during NSK oxidation on strength properties of handsheets made from resulting pulp

Oxidation Conditions				Paper properties		
NSK Consistency (%)	4-acetamido-TEMPO (wt%)*	Sodium bromide (wt%)*	Sodium Hypochlorite (wt%)*	Wet Tensile Strength (g/in)	Dry Tensile Strength (g/in)	Wet/Dry Ratio (%)
Untreated NSK Pulp				18	2142	1
1.3	0.1	3.0	10.0	552	2282	24
1.3	0.02	2.0	2.5	550	2253	24
1.3	0.02	1.0	2.5	395	2230	18
1.3	0.02	0	2.5	197	2108	9
3.0	0.02	2.0	2.5	743	2518	30
3.0	0.01	0.5	2.0	625	2456	25
3.0	0.005	0.5	1.5	528	2383	22

\* All reagent quantities given are based on weight of pulp.

15

#### EXAMPLE 11

Three sets of handsheets prepared in Example 10 were also tested for their temporary wet strength characteristics in the following manner. Following the testing of the initial wet strengths, involving a soaking time of approximately 5 seconds in neutral pH water, strips from the corresponding handsheets were tested for their residual wet tensile strength after a soaking time of 30 minutes under similar conditions. This allows the determination percent of "decay" in wet

20

5 strength, expressed as the percent loss of the average initial wet strength.

Results from these samples are given in Table 6.

TABLE 6

The wet tensile strength of handsheets made from oxidized NSK pulps after prolonged soaking (initial wet strengths are from Example 10 and Table 5).

Initial Wet Tensile Strength (g/in)	Wet Tensile Strength After 30 min. Soaking Time (g/in)	Wet Strength Decay (%)
743	111	85
625	81	87
550	63	89

10

#### EXAMPLE 12

The process described in Example 1 was repeated under similar conditions on NSK pulp in order to determine the effect of oxidation pH on the wet and dry strength and wet/dry ratio of the handsheets. Therefore, 1600 g stirred mixtures of 3% consistency NSK pulp in water (48 g pulp) containing 4.8 mg 4-acetamido-TEMPO and 0.24 g sodium bromide were treated with 7.56 g sodium hypochlorite (as 12.7% solution). Mixtures were then stirred at 25°C for 30 minutes at different pH's which was maintained throughout using a Brinkmann pH STAT 718 Titrino at using 0.49 N NaOH. At the end of the treatment period, the reactions were terminated by adding ascorbic acid to the mixture until its pH was lowered to 4.0 to 4.5 range (ca. 1 g).

The pulps were filtered and washed extensively with pH adjusted water (4.5 to 5.5). They were then re-slurried in water and made into handsheets and tested as described in Example 6. The wet and dry tensile strength of the handsheets made from NSK pulps modified at different pH's are given in Table 7.

5

TABLE 7

The effect of pH during treatment of NSK pulp on the strength properties of handsheets made from resultant pulps

Oxidation pH	Paper Properties		
	Wet Tensile Strength (g/in)	Dry Tensile Strength (g/in)	Wet/Dry Ratio (%)
7.5	363	2101	17
8.0	401	1955	21
8.5	541	2223	24
9.0	607	2277	27
9.5	595	2376	25
10.0	565	2429	23
10.5	470	2460	19
11.0	258	2161	12

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## EXAMPLE 13

The process described in Example 11 was repeated under similar conditions on NSK pulp in order to determine the effect of oxidation time and temperature on the wet and dry strength and wet/dry ratio of the handsheets.

15 Therefore, 1600 g stirred mixtures of 3% consistency NSK pulp in water (48 g pulp) containing 4.8 mg 4-acetamido-TEMPO and 0.24 g sodium bromide were treated with 7.56 g sodium hypochlorite (as 12.7% solution). Mixtures were then stirred at 25°C for 30 minutes at different 4.8 mg 4-acetamido-TEMPO and 0.24 g sodium bromide were treated with 7.56 g sodium hypochlorite (as 12.7% at pH

20 9.5) for different periods of time at different temperatures. The pH was maintained at 9.5 throughout using a Brinkmann pH STAT 718 Titrino at using 0.49 N NaOH. At the end of the treatment period, the reactions were terminated by adding ascorbic acid to the mixture until its pH was lowered to 4.0 to 4.5 range (ca. 1 g). The pulps were filtered and washed extensively with pH

5 adjusted water (4.5 to 5.5). They were then re-slurried in water and made into handsheets and tested as described in Example 6.

The effect on wet and dry tensile strength of handsheets made from NSK pulps modified at different treatment time and temperatures are listed in Table 8.

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TABLE 8

The effect on the strength properties of handsheets made from NSK pulps modified at different treatment time and temperatures

Oxidation Conditions		Paper Properties		
Temperature (°C)	Time (min)	Wet Tensile Strength (g/in)	Dry Tensile Strength (g/in)	Wet/Dry Ratio (%)
Untreated NSK Pulp		31	2170	1
25	10	562	2461	23
25	40	609	2288	27
35	10	506	2166	23
35	40	469	2029	23

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#### EXAMPLE 14

This example illustrates the improved compression strength and resistance of handsheets prepared from modified pulp compared to the compression strength of handsheets prepared from unmodified pulp.

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Bleached softwood kraft (BSWK) pulp, refined to 400-500 CSF (according to TAPPI T 200) was modified as described in Example 1. When determined according to the procedures of Example 5, the modified BSWK pulp had an aldehyde content of 7.8 mmole/100 g pulp. Handsheets were then formed, pressed and dried from both the modified and unmodified BSWK pulps under conditions similar to those described in Example 6 at a 33 lb/1000 ft<sup>2</sup> basis weight (according to TAPPI T 205).

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5            Recycled old corrugated cardboard (OCC) pulp refined to 500 CSF  
(TAPPI T 200) was also modified by adding 4-acetamido-TEMPO (12 mg) and  
sodium bromide (0.72 g) to a suspension (1600 g) of 510 CSF OCC pulp (24 g)  
at 1.5% consistency and a pH of 9.5. Sodium hypochlorite (22 g, 10.9% solution)  
adjusted to a pH of 9.5 was added in one portion to the mixture which was stirred  
10 at 25 °C for 30 minutes. The pH of the suspension was maintained throughout  
using a Brinkmann pH STAT 718 Titrino at 9.5 with 0.49 N NaOH (13 mL). The  
reaction was quenched to a pH of between 4.0 and 4.5 with ascorbic acid (3 g).  
The modified OCC pulp was determined to have an aldehyde content of 8.3  
mmole/100 g by the method of Example 5. Handsheets were then formed,  
15 pressed and dried from both the modified and unmodified pulps under the  
conditions similar to those described in Example 6 at a basis weight of 26 lb/1000  
ft<sup>2</sup> (according to TAPPI T 205).

A mixture of 70% unbleached softwood kraft (UBSWK) and 30% OCC  
pulp was refined to 500 CSF and modified as described above for the OCC  
20 pulp, and the pulp system adjusted to a pH of 5. The modified OCC + UBSWK  
pulp mixture was determined to have an aldehyde content of 7.8 mmole/100 g by  
the method of Example 5. Alum at 0.5% by weight of pulp was added to the  
pulp. Handsheets were then formed, pressed and dried from both the modified  
and unmodified pulps under conditions similar to those described in Example 6 at  
25 a basis weight of 33 lb/1000 ft<sup>2</sup> (according to TAPPI T 205).

Table 9 illustrates the compression performance of the handsheets  
prepared from modified pulps compared to those prepared from unmodified  
pulp. Ring Crush and STFI was tested according to TAPPI standard  
procedures T 822 and T 826, respectively. See Tappi Test Methods, 1996-1997  
30 (Tappi Press, Technology Park, Atlanta, GA 1996).

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**TABLE 9**

Effect on compression strength properties of handsheets prepared from various modified and unmodified pulps under standard environmental conditions of 25°C and 50% relative humidity.

Pulp	modification	Ring Crush		STFI	
		KN/m	improve-ment	KN/m	improve-ment
BSWK	NO	2.81	19.6%	5.16	9.5%
	YES	3.36		5.65	
OCC	NO	2.36	6.8%	4.67	4.3%
	YES	2.52		4.87	
UBSK + OCC	NO	2.57	3.9%	2.64	12.5%
	YES	2.67		2.97	

As demonstrated by their improved STFI and Ring Crush values, handsheets prepared from bleached (BSWK) pulp, unbleached (OCC) pulp and mixtures thereof which had been modified, unexpectedly showed significant improvement in both compression resistance and compression strength compared to handsheets prepared from the corresponding unmodified pulps.

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**EXAMPLE 15**

This example illustrates the improvement of the compression strength of the modified papers of the present invention under conditions of high humidity.

Handsheets were prepared from both modified and unmodified 70:30 UBSWK:OCC pulp mixture. The handsheets were conditioned and tested in a high humidity environment at 32 °C and 90% relative humidity. The results are presented in Table 10.

**TABLE 10**

The compression strength and resistance performance of handsheets prepared from modified and unmodified UBSWK and OCC pulp mixture under high humidity conditions of 32 °C and 90 % relative humidity.

Pulp	modification	Ring Crush		STFI	
		KN/m	% improve-ment	KN/m	% improve-ment
UBSK + OCC	NO	1.33	6%	1.35	3.7%
	YES	1.41		1.40	

The results indicate that there is a significant improvement in the compression strength of handsheets prepared from the modified pulp mixtures of the present invention compared to handsheets prepared from the unmodified pulp mixture even under conditions of high humidity.



5 WHAT IS CLAIMED IS:

1. Paper having wet strength, temporary wet strength and dry strength properties comprising aldehyde modified cellulose pulp wherein the cellulose pulp has from about 1 to 20 mmoles of aldehyde per 100 g of cellulose.
- 10 2. The paper of claim 1 wherein the cellulose pulp has from about 5 to 20 mmoles of aldehyde per 100 g of cellulose.
3. The paper of Claim 2 which has a wet strength to dry strength ratio of at least 20%.
- 15 4. The paper of Claim 3 wherein the paper has an improved compression strength and resistance of greater than about 1% over that of a paper prepared from a corresponding unmodified cellulose pulp.
5. The paper of Claim 4 wherein the paper has an improved compression strength and resistance of greater than about 5%.
- 20 6. The paper of claim 4 wherein the improved compression strength and resistance is measured under conditions of high humidity.
7. The paper of Claim 2 wherein the cellulose pulp has a ratio of aldehyde to carboxylic acid functionality of about 0.2 or more.
8. The paper of Claim 7 which has a wet strength to dry strength ratio of at least 20%.
- 25 9. In the method of making paper having wet strength, temporary wet strength and dry strength properties, the improvement comprising using the aldehyde modified cellulosic material of Claim 1 as a pulp stock or a component of the pulp stock.

5            10. The method of Claim 9 wherein the prepared paper has a wet strength/dry strength ratio of at least 20% or more.

11. The method of claim 10 wherein the prepared paper has an improvement in compression strength and resistance of greater than about 1% over paper prepared from a corresponding unmodified cellulose pulp under  
10 standard environment conditions.

12. The method of claim 11 wherein the prepared paper has an improvement in compression strength and resistance of greater than about 5%.

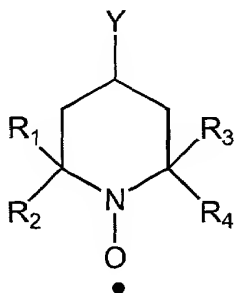
13. The method of Claim 11 wherein the compression strength and resistance is measured under conditions of high humidity.

15            14. The method of Claim 9 wherein the oxidant has an equivalent oxidizing power of from about 0.05 to 5.0 g of active chlorine per 100 g of cellulose.

15. The method of Claim 14 wherein the oxidant is sodium hypochlorite or sodium hypobromite.

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16. The method of Claim 15 wherein the nitroxyl radical has the formula:



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where Y is H, OH or NH-C(O)-CH<sub>3</sub>.

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17. The method of Claim 16 wherein the prepared paper has a wet strength/dry strength ratio of at least 20%.

18. The method of Claim 16 wherein the aldehyde content of the  
10 cellulose is from about 5 to 20 mmole/100 g of cellulose.

19. The method of Claim 18 wherein the oxidant is sodium hypobromite formed in situ by the addition of sodium hypochlorite and sodium  
bromide.

15

20. The method of Claim 19 wherein the cellulose material has a ratio of aldehyde to generated carboxylic acid functionality of greater than or equal to 0.5 based on mmole/ 100 g of cellulose.

21. The method of Claim 19 wherein from about 0.1 to 10% by weight of sodium hypochlorite based on the weight of cellulose and from about 0.1 to 5% by weight of sodium bromide based on the weight of cellulose are used.

22. The method of Claim 21 wherein the prepared paper has a wet strength/dry strength ratio of at least 20%.

23. Paper produced by the method of Claim 9.

24. Paper produced by the method of Claim 21.

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25. Paper produced by the method of Claim 16.

## ABSTRACT OF THE DISCLOSURE

Paper comprising aldehyde modified cellulose pulp having selected aldehyde content. Another embodiment involves a method of preparing cellulose aldehydes using selective oxidation with a limited amount of oxidant and a nitroxyl radical mediator and defined reaction conditions to provide oxidized cellulose material with effective aldehyde content making it particularly suitable for use in making paper with desirable wet strength, temporary wet strength and dry strength properties, including compressive strength and resistance.

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ALDEHYDE MODIFIED CELLULOSE PULP FOR THE PREPARATION OF HIGH STRENGTH PAPER PRODUCTS the specification of which

(check one) ☒ is attached hereto  
☐ was filed on \_\_\_\_\_ as  
 Application Serial No. \_\_\_\_\_  
 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
(Number) _____	(Country) _____	(Day/Month/Year Filed) _____	Yes	No
(Number) _____	(Country) _____	(Day/Month/Year Filed) _____	Yes	No
(Number) _____	(Country) _____	(Day/Month/Year Filed) _____	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

09/375,939 (Application Serial No.)	August 17, 1999 (Filing Date)	Pending (Status-patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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